

PCT  
09RAW SEQUENCE LISTING  
PATENT APPLICATION: US/09/787,228DATE: 03/28/2001  
TIME: 10:49:43Input Set : A:\P198301.app  
Output Set: N:\CRF3\03282001\I787228.raw**Does Not Comply  
Corrected Diskette Needed**

3 <110> APPLICANT: Hine, Anna V  
 4 Morgan, Leonie J  
 5 Santos, Albert F  
 6 Palfrey, David  
 8 <120> TITLE OF INVENTION: Gene and Protein Libraries and Methods Relating Thereto  
 10 <130> FILE REFERENCE: PL9830  
 C--> 12 <140> CURRENT APPLICATION NUMBER: US/09/787,228  
 13 <141> CURRENT FILING DATE: 2001-03-14  
 15 <150> PRIOR APPLICATION NUMBER: PCT/GB99/03081  
 16 <151> PRIOR FILING DATE: 1999-09-14  
 18 <150> PRIOR APPLICATION NUMBER: 98307434.5 EP  
 19 <151> PRIOR FILING DATE: 1998-09-14  
 21 <160> NUMBER OF SEQ ID NOS: 2  
 23 <170> SOFTWARE: PatentIn Ver. 2.1  
 25 <210> SEQ ID NO: 1  
 26 <211> LENGTH: 28  
 27 <212> TYPE: PRT  
 28 <213> ORGANISM: synthetic construct  
 30 <400> SEQUENCE: 1  
 31 Thr Gly Glu Lys Pro Tyr Lys Cys Pro Glu Cys Gly Lys Ser Phe Ser  
 32 1 5 10 15  
 34 Lys Lys Ser His Leu Val Ala His Gln Arg Thr His  
 35 20 25  
 38 <210> SEQ ID NO: 2  
 39 <211> LENGTH: 28  
 40 <212> TYPE: PRT  
 41 <213> ORGANISM: synthetic construct  
 43 <400> SEQUENCE: 2  
 44 Thr Gly Glu Lys Pro Tyr Lys Cys Pro Glu Cys Gly Lys Ser Phe Ser  
 45 1 5 10 15  
 W--> 47 (Xaa) Lys Ser (Xaa) Leu Val (Xaa) His Gln Arg Thr His  
 48 20 25

*Proper format for  
Artificial sequence:*

&lt;213&gt; Artificial Sequence

<220>  
<223> Synthetic  
ConstructSee #12 on the  
Error Summary  
Sheet.*Missing mandatory <220> to <223>  
features to explain the "Xaa's"  
in the sequence. See #10 on  
the Error Summary Sheet.*

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/787,228

DATE: 03/28/2001

TIME: 10:49:44

Input Set : A:\P198301.app

Output Set: N:\CRF3\03282001\I787228.raw

L:12 M:270 C: Current Application Number differs, Replaced Current Application Number  
L:47 M:258 W: Mandatory Feature missing, <220> not found for SEQ ID#:2  
L:47 M:258 W: Mandatory Feature missing, <221> not found for SEQ ID#:2  
L:47 M:258 W: Mandatory Feature missing, <222> not found for SEQ ID#:2  
L:47 M:258 W: Mandatory Feature missing, <223> not found for SEQ ID#:2  
L:47 M:340 W: (46) "n" or "Xaa" used: Feature required, for SEQ ID#:2

# Raw Sequence Listing Error Summary

## ERROR DETECTED SUGGESTED CORRECTION

SERIAL NUMBER: 09/787,228

ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE

- 1 ☐ Wrapped Nucleics      The number/text at the end of each line "wrapped" down to the next line.  
This may occur if your file was retrieved in a word processor after creating it.  
Please adjust your right margin to .3, as this will prevent "wrapping".
- 2 ☐ Wrapped Aminos      The amino acid number/text at the end of each line "wrapped" down to the next line.  
This may occur if your file was retrieved in a word processor after creating it.  
Please adjust your right margin to .3, as this will prevent "wrapping".
- 3 ☐ Incorrect Line Length      The rules require that a line not exceed 72 characters in length. This includes spaces.
- 4 ☐ Misaligned Amino Acid Numbering      The numbering under each 5th amino acid is misaligned. This may be caused by the use of tabs between the numbering. It is recommended to delete any tabs and use spacing between the numbers.
- 5 ☐ Non-ASCII      This file was not saved in ASCII (DOS) text, as required by the Sequence Rules.  
Please ensure your subsequent submission is saved in ASCII text so that it can be processed.
- 6 ☐ Variable Length      Sequence(s) \_\_\_\_\_ contain n's or Xaa's which represented more than one residue.  
As per the rules, each n or Xaa can only represent a single residue.  
Please present the maximum number of each residue having variable length and indicate in the (ix) feature section that some may be missing.
- 7 ☐ PatentIn ver. 2.0 "bug"      A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequence(s) \_\_\_\_\_. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies primarily to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
- 8 ☐ Skipped Sequences (OLD RULES)      Sequence(s) \_\_\_\_\_ missing. If intentional, please use the following format for each skipped sequence:  
(2) INFORMATION FOR SEQ ID NO:X:  
(i) SEQUENCE CHARACTERISTICS:(Do not insert any headings under "SEQUENCE CHARACTERISTICS")  
(xi) SEQUENCE DESCRIPTION:SEQ ID NO:X:  
This sequence is intentionally skipped  
  
Please also adjust the "(iii) NUMBER OF SEQUENCES:" response to include the skipped sequence(s).
- 9 ☐ Skipped Sequences (NEW RULES)      Sequence(s) \_\_\_\_\_ missing. If intentional, please use the following format for each skipped sequence.  
<210> sequence id number  
<400> sequence id number  
000
- 10 ☒ Use of n's or Xaa's (NEW RULES)      Use of n's and/or Xaa's have been detected in the Sequence Listing.  
Use of <220> to <223> is MANDATORY if n's or Xaa's are present.  
In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
- 11 ☐ Use of <213>Organism (NEW RULES)      Sequence(s) \_\_\_\_\_ are missing this mandatory field or its response.
- 12 ☒ Use of <220>Feature (NEW RULES)      Sequence(s) <sup>1-2</sup> \_\_\_\_\_ are missing the <220>Feature and associated headings.  
Use of <220> to <223> is MANDATORY if <213>ORGANISM is "Artificial" or "Unknown"  
Please explain source of genetic material in <220> to <223> section.  
(See "Federal Register," 6/01/98, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of new Rules)
- 13 ☐ PatentIn ver. 2.0 "bug"      Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other means to copy file to floppy disk.



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/787,228

Source: PCT 09

Date Processed by STIC: 3-28-01

**THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.**

**PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:**

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

**FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.**

**FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.**

**PATENTIN 2.1 e-mail help: [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or phone 703-306-4119 (R. Wax)**

**PATENTIN 3.0 e-mail help: [patin3help@uspto.gov](mailto:patin3help@uspto.gov) or phone 703-306-4119 (R. Wax)**

**TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.0 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW:**

### **Checker Version 3.0**

The Checker Version 3.0 application is a state-of-the-art Windows based software program employing a logical and intuitive user-interface to check whether a sequence listing is in compliance with format and content rules. Checker Version 3.0 works for sequence listings generated for the original version of 37 CFR §§1.821 - 1.825 effective October 1, 1990 (old rules) and the revised version (new rules) effective July 1, 1998 as well as World Intellectual Property Organization (WIPO) Standard ST.25.

Checker Version 3.0 replaces the previous DOS-based version of Checker, and is Y2K-compliant. Checker allows public users to check sequence listings in Computer Readable form (CRF) before submitting them to the United States Patent and Trademark Office (USPTO). Use of Checker prior to filing the sequence listing is expected to result in fewer errored sequence listings, thus saving time and money.

**Checker Version 3.0 can be down loaded from the USPTO website at the following address:**

**<http://www.uspto.gov/web/offices/pac/checker>**